# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Yihong Qui &

Jacqueline Wardrop

Serial No.: 10/613,622

Filed: July 2, 2003

For: PROCESS FOR PREPARING

FORMULATIONS OF LIPID-REGULATING DRUGS

Examiner: (not assigned)

Group Art Unit: (not assigned)

Case No.: 7089.US.01

**CERTIFICATE OF MAILING (37 CFR 1.8(a))** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents Mail Stop DD P. O. Box 1450

Alexandria, VA 22313-1450, on:

Date of Deposit: November 14, 2003

Kathleen Boettcher Date

# TRANSMITTAL LETTER

Commissioner for Patents Mail Stop DD P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is an Information Disclosure Statement for Yihong Qui & Jacqueline Wardrop for PROCESS FOR PREPARING FORMULATIONS OF LIPID-REGULATING DRUGS, the specification of which was filed on July 2, 2003, and received Serial No. 10/613,622.

Also enclosed are:

Form PTO 1449 (in duplicate) w/eight (8) references

Return-receipt postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.16, as well as any patent application processing fees under 37 CFR 1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

23492

ABBOTT LABORATORIES Telephone: (847) 936-3362

Facsimile: (847) 938-2623

Respectfully submitted,

Yihong Qui & Jacqueline Wardrop

Christopher P. Rogers Registration No. 36,334

Attorney for Applicants

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NOV 1 9 2023 Applicant: Yihong Qui &

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Anthrew Prottchew 11/14/03
Kathleen Boettcher Date

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Mail Stop DD P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This information disclosure statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR § 1.97(b).

The Applicants submit herewith Form PTO 1449 listing the references cited for this Information Disclosure Statement.

The Applicants respectfully request that the Examiner initial next to each reference listed on the enclosed Form PTO 1449 indicating that the Examiner has considered and made those references of record in this application and that a copy of the initialed Form PTO 1449 be returned to Applicants.

No charge is required for the submission of this Information Disclosure Statement under 37 C.F.R. § 1.97 (b). The Commissioner is hereby authorized to charge any additional filing fees required under 37 C.F.R. § 1.17 concerning this transmission, or to credit any overpayment to Deposit Account No. 01-0025.

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Christopher P. Rogers

Respectfully submitted,

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Yihong Qui & Jagqueline Wardrop

Attorney for Applicants

DATE:

November 14, 2003 SHEET 1 of 1

## Form PTO - 1449 (Modified)

FORW PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. SERIAL NO. 7089.US.01 10/613,622 APPLICANT HOV 1 9 2003 INFORMATION DISCLOSURE Yihong Qui & Jacqueline Wardrop STATEMENT BY APPLICANT FILING DATE GROUP (Use several sheets if necessary) July 2, 2003 (not assigned)

#### **U.S.PATENT DOCUMENTS**

| EXAMINER INITIAL |    | PATENT NUMBER |               |   |   |   |          |          | ISSUE<br>DATE | PATENTEE       | CLASS | SUB<br>CLASS | FILING<br>DATE |  |
|------------------|----|---------------|---------------|---|---|---|----------|----------|---------------|----------------|-------|--------------|----------------|--|
|                  | A1 | 4             | 4 0 5 8 5 5 2 |   |   | 2 | 11/15/77 | Mieville |               |                |       |              |                |  |
|                  | A2 | 4             | 8             | 0 | 0 | 0 | 7        | 9        | 01/24/89      | Boyer          | 1     |              |                |  |
|                  | A3 | 4             | 8             | 9 | 5 | 7 | 2        | 6        | 01/23/90      | Curter, et al. | 1     |              |                |  |
| ·                | A4 | 4             | 9             | 6 | 1 | 8 | 9        | 0        | 10/09/90      | Boyer          |       |              |                |  |
|                  | A5 | 5             | 6             | 4 | 5 | 8 | 5        | 6        | 07/08/97      | Lacy, et al.   | -     |              |                |  |
|                  | A6 | 6             | 3             | 8 | 3 | 5 | 1        | 7        | 05/07/2002    | Qiu, et al.    |       |              |                |  |

#### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

|        | DC       | OCL | JME | NT | NU | MB       | ER       | PUBLI-<br>CATION<br>DATE |    | COUNTRY OR<br>PATENT OFFICE | CLASS | SUB<br>CLASS | NS-<br>ION<br>NO |
|--------|----------|-----|-----|----|----|----------|----------|--------------------------|----|-----------------------------|-------|--------------|------------------|
| <br>B1 | 0        | 7   | 9   | 3  | 9  | 5        | 8        | 28.02.97                 | EP |                             |       |              |                  |
| B2     | 8        | 2   | 0   | 1  | 6  | 4        | 9        | 27.05.82                 | wo |                             |       |              |                  |
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|        |          |     |     | L  |    |          |          |                          |    |                             |       |              |                  |

# OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

| EXAMINER | • | DATE CONSIDERED |
|----------|---|-----------------|

EXAWINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)